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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 10/713,142
Filing Date September 16, 2003
Inventorship David A. Krise, et al.
Group Art Unit 3713
Examiner Not yet assigned
Attorney's Docket No. FL12-052
Title: Drop Slot Game Machine

INFORMATION DISCLOSURE STATEMENT

This document is being submitted in an effort to comply with the duty of disclosure defined in the Code of Federal Regulations, specifically 37 CFR §1.56. The duty of disclosure imposed by the Patent Office extends to inventors, the applicant's attorneys, and others associated with the filing and prosecution of the patent application. It requires that all information known to such individuals which is material to the patentability of this invention be disclosed to the Patent Office.

The information being submitted is listed on the attached form PTO-1449. The listing includes patentee, patent number, and issue date. Foreign documents are identified by country, document number, and publication date. Magazine articles and other documents are identified by author, title, page no., date and place of publication. The Examiner is requested to make these citations of official record in this application. Copies are enclosed unless otherwise noted. Available translations of any foreign documents are submitted in compliance with 37 CFR §1.98(c).

The submission of this material is not an admission that the information is in fact prior art properly assertible against the application. The applicant(s) specifically reserve

the right to question or prove that this information is not properly asserted against one or more claims of this application or claims submitted in a derivative application.

This submission is being made pursuant to the following provision(s):

1. ☐ 37 CFR §1.97(b)(1-2) - No fee is due because it is being submitted within three (3) months of the filing date or national stage entry date.
2. ☐ 37 CFR §1.97(b)(3) - No fee is due because it is being submitted before the mailing date of the first office action on the merits.
3. ☐ 37 CFR §1.97(c) - A fee is due because it is being submitted without a certification after the mailing date of the first office action on the merits and after 3 months from the filing date.
4. ☐ 37 CFR §1.97(c) & (e) - This submission is before the mailing of a final action and before mailing of a notice of allowance. No fee is due because it is being submitted with a certification as provided below.
5. ☐ 37 CFR §1.97(d) & (e) - This submission is being made before payment of the issue fee and after the mailing of a final action or after mailing of a notice of allowance. Also enclosed are a petition and the fee is either enclosed or should be withdrawn from the deposit account indicated in the transmittal letter.
6. ☒ 37 CFR §1.98(d) - Copies of some or all of the references are not being submitted because they were submitted in prior application Serial No. 10/196,767 upon which priority is claimed. Copies of any references not excepted under this provision are enclosed.
7. ☐ 37 CFR §1.97(a)(3) - An explanation of the relevance of the references is not provided except unless this box is checked. If checked, then a concise explanation

of the relevance is provided in connection with each item which is not in the English language.

8. ☐ Some or all of the references are incomplete. Applicant has submitted everything in possession.

Date: July 8, 2004

By: Randy A. Gregory
Randy A. Gregory
Reg. No. 30,386

Certification Under 37 CFR §1.97(e) (if applicable) -

The undersigned hereby certifies that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; or, no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.

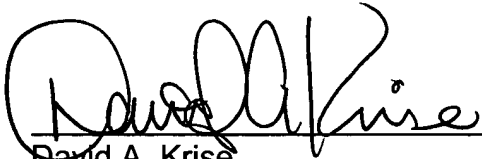
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By: _____
Randy A. Gregory
Reg. No. 30,386

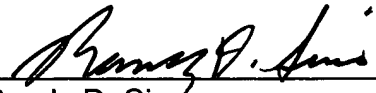
Concise Explanation of Relevance of Each Non-English-Language Item

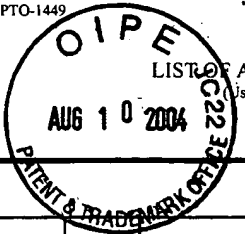
Signatures of Inventors

Date: 7/19/04

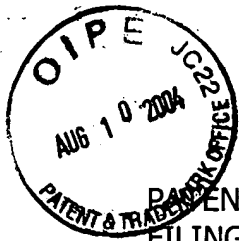
By: 
Name: David A. Krise

Date: 7/19/04

By: 
Name: Randy D. Sines

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. FL12-052		SERIAL NO. 10/713,142	
 LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small>				APPLICANT Digideal Corporation			
FILING DATE September 16, 2003				GROUP 3713			
U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AA	3,383,111	05/1968	Lucas				
AB	4,508,336	04/1985	Yokoi				
AC	5,016,879	05/1991	Parker, et al.				
AD	5,120,060	06/1992	Parker, et al.				
AE	5,121,919	06/1992	Martti				
AF	5,421,576	06/1995	Yamazaki, et al.				
AG	5,462,277	10/1995	Takemoto				
AH	5,788,230	08/1998	Krise, et al.				
AI	6,047,963	04/2000	Pierce, et al.				
AJ	6,139,013	10/2000	Pierce, et al.				
AK	6,203,008	03/2001	Krise, et al.				
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
AL	6-269536	09/1994	Japan/G. Adachi				
AM	2133994 A	08/1984	GB/United Kingdom/Denton				
AN	2066991 A	07/1981	GB/United Kingdom/Middleton				
AO							
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)							
AP							
AQ							
AR							
AS							
EXAMINER				DATE CONSIDERED			
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<div style="display: flex; align-items: center; justify-content: center;"> <div style="margin-left: 10px;"> <p>LIST OF ART CITED BY APPLICANT</p> <p>(Use several sheets if necessary)</p> </div> </div>				APPLICANT Digideal Corporation			
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U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AA	6,203,009	03/2001	Sines, et al.				
AB	6,220,593	04/2001	Pierce				
AC	6,419,225	07/2002	Sines, et al.				
AD	6,419,226	07/2002	Krise, et al.				
AE							
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TITLE: Drop Slot Game Machine

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

From: Randy A. Gregory
Gregory I.P. Law
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Enclosed are:

1. PTO Return Postcard Receipt
2. Transmittal Letter and Certificate of Mailing
3. Information Disclosure Statement with PTO Form 1449.

☒ Small Entity Status is claimed.

☐ Large Entity Status Applies.

Authorization Re: Deposit Account: The undersigned hereby authorizes the Patent and Trademark Office to charge Deposit Account 502881 for any fees or to credit any overpayments in connection with this application and the papers being filed herewith.

Date: Aug. 5, 2004

Respectfully submitted,
By: Randy A. Gregory
Randy A. Gregory
Reg. No. 30,386
Attorney/Agent for Applicant

CERTIFICATE OF MAILING

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below-indicated date.

Dated: 8/5/04

Signature: Jane E Boone
Name: Jane E. Boone